

Nature of Business

Estimated Net Worth*

Contact No. Present Annual Office:

() RM30,000 & below

() Up to RM100,000

PHILLIP MUTUAL BERHAD (570409-K) (CMSL/A0245/2008)

Agent Code

eUnittrust.com.my
Account Opening Form

Master Account No

B-2-7, BLOCK B, LEVEL 2, UNIT 7, MEGAN AVENUE II, No. 12, JALAN YAP KWAN SENG, 50450 KUALA LUMPUR. Tel: 03-2783 0300 Fax: 03-2711 3036 Website: www.phillipmutual.com E-mail: phillipmutual@poems.com.my Customer Services Hotline: 03-2783 0200

This Fund Master Form is to accompany the latest Master Prospectus and which has been registered with the Securities Commission. Investors are required to read and understand the contents of the Master Prospectus before completing this Account Opening Form. In compliance with the Capital Market and Services Act 2007, this Account Opening Form must be circulated with the Master Prospectus. Please complete this form in <u>BLOCK LETTERS</u> and tick (✓) in □ where appropriate.

eunittrust	APPLICATION FOR	<u>IVI</u>				(Date Received
PARTICULARS OF PRING	CIPAL HOLDER (APPLICANT)						
* Denotes mandatory field wh	nich must be properly disclosed and completed b	y the applicant. Pleas	e tick (✔) ir	where applicable.			
Principal Holder's Name* (as per NRIC/Passport)							
NRIC/Passport No*		Date of Birth*			Nationality*	() Malaysian () Non-Malaysian	Country:
Race	() Malay () Chinese () Indian () Others	Email*					
Contact No.*	Mobile: Home:						
Residential Address* (as per NRIC)							
Correspondence Address* (if different from Residential Address)							
EMPLOYMENT/BUSINES	S OF PRINCIPAL APPLICANT						
Principal Holder's Occupation*	() Employed () Self-Employed () Retiree () Student () Housewife () Unemployed Specify:						
Present Position*		Name of Company	/ *				
Nature of Business*		Company's Addre	ss*				
Contact No.	Office: Fax:						
Present Annual Income*	() Up to RM30,000 () RM30,001-RM60,000 () RM60,001 – RM120,000 () Above RM120,000						
Estimated Net Worth*	() Up to RM100,000 () RM100,001-RM500,000 () RM500,001 – RM3,000,000 () Above RM3,000,000						
Source of Funds*	() Salary/Employment/Commission () Inheritance () Investment Returns () Insurance maturity () Own Business Income () Gift () EPF () Others. Please specify:						
PARTICULARS OF JOINT	APPLICANT (IF APPLICABLE)						
Joint Holder's Name* (as per NRIC/Passport)							
NRIC/Passport No*		Date of Birth*			Nationality*	() Malaysian () Non-Malaysian	Country:
Race	() Malay () Chinese () Indian () Others	Email*					
Contact No.*	Mobile: Home: Office:						
Residential Address* (as per NRIC)							
Correspondence Address* (if different from Residential Address)							
Relationship to Principal Holder*							
EMPLOYMENT/BUSINESS OF JOINT APPLICANT							
Joint Holder's	() Employed () Self-Er	mployed () Retiree	() Stud	dent ()	Housewife () Unemployed
Occupation*	Specify:						
Present Position*		Name of Company	/ *				

Company's Address*

() RM60,001 - RM120,000

() RM500,001 - RM3,000,000

() RM30,000-RM60,000

() RM100,001-RM500,000

() Above RM120,000

() Above RM3,000,000

Source of Funds*		() Salary/Employment/Commission	() Inheritance	() Investment	Returns () Insurance	•		
		() Own Business Income	() Gift	() EPF	() Others. F	Please specify:		
SECT	ION A – PRELIMINA	COMPLIANCE ACT ("FATCA") ARY IDENTIFICATION on and tick ('v") in the "Yes" / "No" column re	espectively for each of the	following questions:					
No.	U.S. Indicia					Appli Yes	No	Yes	pplicant No
1.	· ·	izen or resident? (U.S. Passport/green card	holder, U.S. taxpayer, etc	:.)					
2.	Is U.S. your place Do you hold a cur	e of birth? rrent U.S. residence address / mailing addre	ss and U.S. post office bo	x?					
4.		rrent U.S. telephone number?							
5.		ons to pay amounts from Phillip Mutual acco							
NOTE	: ALL INVESTORS	(INDIVIDUAL & LEGAL ENTITY(S) OR CO	RPORATE) ARE REQUI	RED TO COMPLETE	THE FOLLOWING DI	ECLARATION	l.		
Please tick ("v") one of the following: I am a U.S. person / U.S. Legal Entity(s) Please provide Form W-9 I am a Non-U.S. person with No U.S. indicia Please provide Form W-8BEN / provide Form W-8BEN / provide Form W-8BEN-E									
I/W I/W Tax If the	e hereby consent for c Compliance Act (FA tere is any update to cumentary evidence with Withhold any applical Report or disclose all	examined the information on this form and to Company that Company shall have right to ATCA) as may be stipulated by applicable lat the account information/FATCA status or if within 30 Days of such change. I/We consent to be payments in the account relevant information relating to or arising frontice) my/our contractual relationship(s) with the account relationship with the account relations	provide my/our personal ws, regulations, agreemer updates reveal any U.S. in to and authorise Compa	data and information to the contraction to the contraction to the contraction of the cont	o regulatory authoritienes or directives. TCA status, I/We here	s in accordance			
OPER	ATING INSTRUCTION	ON FOR INVESTMENT *(MANDATE)							
Joint	Account	() First Applicant to sign	() Either one to s	ign#	() Both to sign				
		gree that either one of us can give instruction to cond the Manager acting upon this signing instructions ar						aims or demands	in respect of
I/ We confirm that the above information provided to Phillip Mutual Berhad (PMB) is true, accurate and complete to the best of my/ our knowledge and agree to notify PMB in writing if there is any material change in this information. I/We have read and understood the latest prospectus(es) and supplementary prospectus(es) (if any) for the Fund(s) to be invested in and agree to be bound by the terms and conditions of the master account and the Appointment of Mominee To Hold Unit Trust for all and any future transaction with Phillip Mutual Berhad. I/we acknowledge that I/We have read and understood the contents of the Unit Trust Loan Financing Risk Disclosure Statement (see overleaf). I/We acknowledge and accept that Phillip Mutual Berhad has absolute discretion to rely on this confirmation from me/us and I/we undertake to indemnify and hold harmless Phillip Mutual Berhad, its employees and agents against all costs, expenses, loss of liabilities, claims and demands arising out of this confirmation.									
Trading Facilities and Electronic Trading PMB's trading facilities are supported by computer-based component systems for the order routing, execution, matching, registration or clearing of trades. As with all facilities and computer-based, customers will be exposed to risks associated with the systems including the failure of hardware and software. The result of any system failure may be that the customer's order is either not executed according to instructions or is not executed at all. The customer should also be aware that the internet is not a completely reliable transmission medium and there may be delays in service provisions.									
Under		Act (PDPA) Protection Act (PDPA) 2010, we are required cation and to administer the products and se			and process your ser	nsitive persona	al data. We colled	et your sensitive	e personal data in
By sig	ning, you have read	and agree with the Terms & Conditions are	stated above						
*Sign	ature of Main Applican	t Signature of Joint Ap	plicant	Date					
FOR	UNIT TRUST CONS	ULTANT ("UTC") / FINANCIAL ADVISOR	REPRESENTATIVE ("FA	R") USE (WHERE AI	PPLICABLE)				

Signature of UTC

Name :
Code :
Mobile :

*You may remit fund via cheque deposit or Telegraphic Transfer / Online Transfer Banking.

Banking details Bank Name: Malayan Banking Berhad Acc Name: Phillip Mutual Berhad Acc Number: 514011-379755

PRE-INVESTMENT FORM



ATTENTION UNIT TRUST INVESTORS IMPORTANT!

Your Unit Trust Consultant (UTC) is required to explain all of the following to you

Yes(√)/

No(X)

Signing this form does not preclude you from taking action against relevant party/parties under any circumstances.

Do You Know?

- 1) You can buy unit trusts either through a Unit Trust Consultant (UTC), Institutional Unit Trust Advisers (IUTA), Corporate Unit Trust Advisers (CUTA) or directly from Unit Trust Management Company (UTMC) or online, but each has different sales charge and level of service. Choose the one that best suits your needs.
- 2) If you redeem your units in a unit trust fund and then purchase units in another, you will probably have to pay a sales charge. However, if you switch from one fund to another managed by the same UTMC, it is likely that you may not have to pay any sales charge. Ask about switching before you redeem.
- 3) Different types of unit trust funds carry different levels of risk. Some are higher in risk than others. Ask about the risks before investing in a fund. Make sure you know what your fund is investing in.
- 4) If you are a first time investor in a UTMC, you may be eligible for cooling-off rights, whereby you can change your mind within six (6) business days after investing and withdraw your unit trust investment. Ask about your eligibility for cooling-off.

5) Your UTC may represent a company that uses a nominee system and your rights as a unit holder may be limited if you invest in unit trust funds through it. If his or her company uses a nominee system, ask if your rights as a unit holder will be limited in any way.

You Should Also Know

- a) Only registered UTCs are allowed to sell unit trust funds. Your UTC should show you a valid authorisation card confirming that he/she is registered with the Federation of Investment Managers Malaysia (FIMM).
- b) A unit trust fund may only be offered to the public if it is approved by the Securities Commission Malaysia (SC). Go to www.sc.com.my for a list of unit trust funds currently available in the market or call 603-6204 8777 for assistance.
- c) When you buy into a unit trust fund, you should be given the latest copy of prospectus for free. Read the prospectus carefully; understand its contents before investing.
- You may have to pay direct and indirect fees and possibly other charges too. Ask about applicable fees.
- e) If you have concerns or complaints about any unit trust fund, you can contact FIMM at 603-2092 3800 (www.fimm.com.my) or the Investor Affairs & Complaints Department of the SC at 603-6204 8999.
- f) You should not make payment in cash to the UTC or issue a cheque in the name of UTC.

Investor's Confirmation

I/We confirm that the UTC has explained all the points overleaf to me/us.

1)	Applican	t
	Signature	:
	Name	:
	NRIC/Ide	ntification Number/Passport No.:
	Date	:
2)	Joint App	olicant (If applicable)
	Signature	:
	Name	:
	NRIC/Ide	ntification Number/Passport No.:
,	Date	:
Un	it Trust C	onsultant's Declaration
I de	eclare that investor(t I have explained the points overleaf and s) understand(s) them.
	Signature	:
	Name	:
	NRIC/Ide	ntification Number/Passport No.:

^Delete where not applicable.

Note: This form is required for investments in a new fund by INDIVIDUAL investors (excluding additional investment/top ups), including switching-in to new funds after 15th February 2010.

Registered as UTC of UTMC/IUTA/CUTA^:

The following declaration is to be completed and attached with the Phillip Mutual Berhad's Fund Master Form.

COMMON REPORTING STANDARD ("CRS") SELF-CERTIFICATION FORM

Section A : For Individual and Corporate, please complete declaration below.								
I / We declare that I / We are Tax resident in Malaysia ONLY. Please leave the following table empty.								
Foreign tax resident (other than Malaysia). Please list ALL the countries in which you are foreign tax resident in the following table:								
Name (For joint account, please state the name of the account holders individually)	Cour	ntry of Tax Residence	Tax Identification No					
,								
Section B : For Corporate which is Non		tution ONLY, please complete d	leclaration below.					
	Active NFE							
	Passive NFE. Ple ollowing table:	ase list ALL Controlling Person(s)	with tax residency othe	er than Malaysia in the				
N 60 4 111 B	Date of		Country of Tax	Tax Identification				
Name of Controlling Person	Birth	Residence Address	Residence	No				
Declaration								
I / We declare that I have examined the complete.	e information on	this form and to the best of my	knowledge and belief,	it is true, correct, and				
I / We hereby acknowledge and consent that Phillip Mutual Berhad (PMB) shall have the right to provide my / our personal data and information to regulatory authorities in accordance with the requirements of Common Reporting Standard (CRS) for the Automatic Exchange of Financial Account Information as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.								
If there is any update to the account information/ tax residency status or if updates reveal any change of tax residency status, I / We hereby agree to notify and furnish PMB with the relevant documentary evidence within 30 days of such change.								
I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.								
Signature of Principal Holder/	- Sia	nature of Joint Holder	Common Sea	al/Company's Stamp				
Authorised Signatories Name:	_	me :						
Date: Date:								

Definitions:

- The term "Financial Institution" means a Depository Institution, Custodial Institution, Investment Entity or a Specified Insurance Company. Please refer to the CRS guidance available in Lembaga Hasil Dalam Negeri (LHDN) website for further information.

 The term "NFE" refers to "Non Financial Entities", which means entity that is not a Financial Institution.
- The term "Active NFE" means entities that :
 - do NOT primarily receive passive income or primarily hold amounts of assets that produce passive income (such as dividends, interest, rents etc.). include entities that are publicly traded (or related to a publicly traded entity), Governmental Entities, International Organisations, Central Banks, or
- a holding NFEs of non financial groups. The term "Passive NFE" means any NFE that is not an Active NFE. An Investment Entity located in a Non-Participating Jurisdiction is treated as a
- Passive NFE for purposes of the CRS.

 The term "Controlling Person" means a natural person who exercise control over an entity. If the Controlling Person(s) of Passive NFE who hold directly/ (5) indirectly more than 25% of the shares/ voting rights are foreign tax resident(s), please list all the countries in which the Controlling Person(s) are foreign tax resident(s).
- (6)A U.S. citizen is considered a tax resident of the United States even if he / she is a tax resident of another jurisdiction. If you are a tax resident of the United States, please provide your U.S. TIN using Form W-9.