

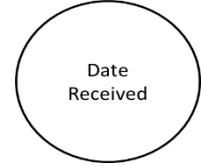


Master Account No

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This Fund Master Form is to accompany the latest Master Prospectus and which has been registered with the Securities Commission. Investors are required to read and understand the contents of the Master Prospectus before completing this Account Opening Form. In compliance with the Capital Market and Services Act 2007, this Account Opening Form must be circulated with the Master Prospectus. Please complete this form in **BLOCK LETTERS** and tick (✓) in  where appropriate.

## eUnittrust APPLICATION FORM



### PARTICULARS OF PRINCIPAL HOLDER (APPLICANT)

\* Denotes mandatory field which must be properly disclosed and completed by the applicant. Please tick (✓) in where applicable.

<b>Principal Holder's Name*</b> (as per NRIC/Passport)					
<b>NRIC/Passport No*</b>	<b>Date of Birth*</b>	<b>Nationality*</b>	<input type="checkbox"/> Malaysian <input type="checkbox"/> Non-Malaysian Country: _____		
<b>Race</b>	<input type="checkbox"/> Malay <input type="checkbox"/> Indian	<input type="checkbox"/> Chinese <input type="checkbox"/> Others	<b>Email*</b>		
<b>Contact No.*</b>	Mobile:	Home:			
<b>Residential Address*</b> (as per NRIC)					
<b>Correspondence Address*</b> (if different from Residential Address)					

### EMPLOYMENT/BUSINESS OF PRINCIPAL APPLICANT

<b>Principal Holder's Occupation*</b>	<input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Retiree <input type="checkbox"/> Student <input type="checkbox"/> Housewife <input type="checkbox"/> Unemployed Specify:					
<b>Present Position*</b>			<b>Name of Company*</b>			
<b>Nature of Business*</b>			<b>Company's Address*</b>			
<b>Contact No.</b>	Office:	Fax:				
<b>Present Annual Income*</b>	<input type="checkbox"/> Up to RM30,000	<input type="checkbox"/> RM30,001-RM60,000	<input type="checkbox"/> RM60,001 – RM120,000	<input type="checkbox"/> Above RM120,000		
<b>Estimated Net Worth*</b>	<input type="checkbox"/> Up to RM100,000	<input type="checkbox"/> RM100,001-RM500,000	<input type="checkbox"/> RM500,001 – RM3,000,000	<input type="checkbox"/> Above RM3,000,000		
<b>Source of Funds*</b>	<input type="checkbox"/> Salary/Employment/Commission <input type="checkbox"/> Inheritance <input type="checkbox"/> Investment Returns <input type="checkbox"/> Insurance maturity <input type="checkbox"/> Own Business Income <input type="checkbox"/> Gift <input type="checkbox"/> EPF <input type="checkbox"/> Others. Please specify: _____					

### PARTICULARS OF JOINT APPLICANT (IF APPLICABLE)

<b>Joint Holder's Name*</b> (as per NRIC/Passport)					
<b>NRIC/Passport No*</b>	<b>Date of Birth*</b>	<b>Nationality*</b>	<input type="checkbox"/> Malaysian <input type="checkbox"/> Non-Malaysian Country: _____		
<b>Race</b>	<input type="checkbox"/> Malay <input type="checkbox"/> Indian	<input type="checkbox"/> Chinese <input type="checkbox"/> Others	<b>Email*</b>		
<b>Contact No.*</b>	Mobile:	Home:	Office:		
<b>Residential Address*</b> (as per NRIC)					
<b>Correspondence Address*</b> (if different from Residential Address)					
<b>Relationship to Principal Holder*</b>					

### EMPLOYMENT/BUSINESS OF JOINT APPLICANT

<b>Joint Holder's Occupation*</b>	<input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Retiree <input type="checkbox"/> Student <input type="checkbox"/> Housewife <input type="checkbox"/> Unemployed Specify:					
<b>Present Position*</b>			<b>Name of Company*</b>			
<b>Nature of Business</b>			<b>Company's Address*</b>			
<b>Contact No.</b>	Office:	Fax:				
<b>Present Annual Income*</b>	<input type="checkbox"/> RM30,000 & below	<input type="checkbox"/> RM30,000-RM60,000	<input type="checkbox"/> RM60,001 – RM120,000	<input type="checkbox"/> Above RM120,000		
<b>Estimated Net Worth*</b>	<input type="checkbox"/> Up to RM100,000	<input type="checkbox"/> RM100,001-RM500,000	<input type="checkbox"/> RM500,001 – RM3,000,000	<input type="checkbox"/> Above RM3,000,000		



## PRE-INVESTMENT FORM



### ATTENTION UNIT TRUST INVESTORS IMPORTANT!

Your Unit Trust Consultant (UTC) is required to explain all of the following to you

Signing this form does not preclude you from taking action against relevant party/parties under any circumstances.

### Do You Know?

Yes(√)/  
No(X)

- 1) You can buy unit trusts either through a Unit Trust Consultant (UTC), Institutional Unit Trust Advisers (IUTA), Corporate Unit Trust Advisers (CUTA) or directly from Unit Trust Management Company (UTMC) or online, but each has different sales charge and level of service. Choose the one that best suits your needs.
- 2) If you redeem your units in a unit trust fund and then purchase units in another, you will probably have to pay a sales charge. However, if you switch from one fund to another managed by the same UTMC, it is likely that you may not have to pay any sales charge. Ask about switching before you redeem.
- 3) Different types of unit trust funds carry different levels of risk. Some are higher in risk than others. Ask about the risks before investing in a fund. Make sure you know what your fund is investing in.
- 4) If you are a first time investor in a UTMC, you may be eligible for cooling-off rights, whereby you can change your mind within six (6) business days after investing and withdraw your unit trust investment. Ask about your eligibility for cooling-off.

- 5) Your UTC may represent a company that uses a nominee system and your rights as a unit holder may be limited if you invest in unit trust funds through it. If his or her company uses a nominee system, ask if your rights as a unit holder will be limited in any way.

### You Should Also Know

- a) Only registered UTCs are allowed to sell unit trust funds. Your UTC should show you a valid authorisation card confirming that he/she is registered with the Federation of Investment Managers Malaysia (FIMM).
- b) A unit trust fund may only be offered to the public if it is approved by the Securities Commission Malaysia (SC). Go to [www.sc.com.my](http://www.sc.com.my) for a list of unit trust funds currently available in the market or call 603-6204 8777 for assistance.
- c) When you buy into a unit trust fund, you should be given the latest copy of prospectus for free. Read the prospectus carefully; understand its contents before investing.
- d) You may have to pay direct and indirect fees and possibly other charges too. Ask about applicable fees.
- e) If you have concerns or complaints about any unit trust fund, you can contact FIMM at 603-2092 3800 ([www.fimm.com.my](http://www.fimm.com.my)) or the Investor Affairs & Complaints Department of the SC at 603-6204 8999.
- f) You should not make payment in cash to the UTC or issue a cheque in the name of UTC.

### Investor's Confirmation

I/We confirm that the UTC has explained all the points overleaf to me/us.

#### 1) Applicant

Signature : \_\_\_\_\_

Name : \_\_\_\_\_

NRIC/Identification Number/Passport No.:  
\_\_\_\_\_

Date : \_\_\_\_\_

#### 2) Joint Applicant (If applicable)

Signature : \_\_\_\_\_

Name : \_\_\_\_\_

NRIC/Identification Number/Passport No.:  
\_\_\_\_\_

Date : \_\_\_\_\_

#### Unit Trust Consultant's Declaration

I declare that I have explained the points overleaf and the investor(s) understand(s) them.

Signature : \_\_\_\_\_

Name : \_\_\_\_\_

NRIC/Identification Number/Passport No.:  
\_\_\_\_\_

Registered as UTC of UTMC/IUTA/CUTA^:  
\_\_\_\_\_

^Delete where not applicable.

Note: This form is required for investments in a new fund by INDIVIDUAL investors (excluding additional investment/top ups), including switching-in to new funds after 15<sup>th</sup> February 2010.



**PHILLIP MUTUAL BERHAD (570409-K) (CMSL/A0245/2008)**

B-2-7, Block B, Level 2, Unit 7, Megan Avenue II, No. 12, Jalan Yap Kwan Seng, 50450 Kuala Lumpur.  
Tel: 603-2783 0300 Fax: 603-2166 5099 Website: www.phillipmutual.com E-mail: phillipmutual@poems.com.my

The following declaration is to be completed and attached with the Phillip Mutual Berhad's Fund Master Form.

**COMMON REPORTING STANDARD ("CRS") SELF-CERTIFICATION FORM**

**Section A : For Individual and Corporate, please complete declaration below.**

I / We declare that I / We are  Tax resident in Malaysia ONLY. Please leave the following table empty.  
 Foreign tax resident (other than Malaysia). Please list ALL the countries in which you are foreign tax resident in the following table:

Name (For joint account, please state the name of the account holders individually)	Country of Tax Residence	Tax Identification No

**Section B : For Corporate which is Non Financial Institution ONLY, please complete declaration below.**

I / We declare that I / We are  Active NFE  
 Passive NFE. Please list ALL Controlling Person(s) with tax residency other than Malaysia in the following table:

Name of Controlling Person	Date of Birth	Residence Address	Country of Tax Residence	Tax Identification No

**Declaration**

I / We declare that I have examined the information on this form and to the best of my knowledge and belief, it is true, correct, and complete.

I / We hereby acknowledge and consent that Phillip Mutual Berhad (PMB) shall have the right to provide my / our personal data and information to regulatory authorities in accordance with the requirements of Common Reporting Standard (CRS) for the Automatic Exchange of Financial Account Information as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.

If there is any update to the account information/ tax residency status or if updates reveal any change of tax residency status, I / We hereby agree to notify and furnish PMB with the relevant documentary evidence within 30 days of such change.

I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.

\_\_\_\_\_  
Signature of Principal Holder/  
Authorised Signatories  
Name :  
Date :

\_\_\_\_\_  
Signature of Joint Holder  
Name :  
Date :

\_\_\_\_\_  
Common Seal/Company's Stamp

**Definitions:**

- The term "Financial Institution" means a Depository Institution, Custodial Institution, Investment Entity or a Specified Insurance Company. Please refer to the CRS guidance available in Lembaga Hasil Dalam Negeri (LHDN) website for further information.
- The term "NFE" refers to "Non Financial Entities", which means entity that is not a Financial Institution.
- The term "Active NFE" means entities that :
  - do NOT primarily receive passive income or primarily hold amounts of assets that produce passive income (such as dividends, interest, rents etc.).
  - include entities that are publicly traded (or related to a publicly traded entity), Governmental Entities, International Organisations, Central Banks, or a holding NFEs of non financial groups.
- The term "Passive NFE" means any NFE that is not an Active NFE. An Investment Entity located in a Non-Participating Jurisdiction is treated as a Passive NFE for purposes of the CRS.
- The term "Controlling Person" means a natural person who exercise control over an entity. If the Controlling Person(s) of Passive NFE who hold directly/ indirectly more than 25% of the shares/ voting rights are foreign tax resident(s), please list all the countries in which the Controlling Person(s) are foreign tax resident(s).
- A U.S. citizen is considered a tax resident of the United States even if he / she is a tax resident of another jurisdiction. If you are a tax resident of the United States, please provide your U.S. TIN using Form W-9.